

Schedule 1-C of the CSA Notice announcing amendments to NI 81-102 dated 07 Oct 2021

**AMENDMENTS TO
NATIONAL INSTRUMENT 81-102 INVESTMENT FUNDS**

1. **National Instrument 81-102 Investment Funds is amended by this Instrument.**
2. **Section 1.1 is amended in paragraph (b) of the definition of “sales communication” by repealing item 2.**
3. **Section 1.1 is amended in paragraph (b) of the definition of “sales communication” by adding the following item:**
 - 3.1 An ETF facts document or preliminary or *pro forma* ETF facts document..
4. **Subsection 3.3(1) is amended by deleting “preliminary annual information form,” and “; annual information form”.**
5. **Subparagraph 5.6(1)(f)(ii) is amended by adding “or ETF facts document” after “fund facts document”.**
6. **Subclause 5.6(1)(f)(iii)(A)(II) is repealed.**
7. **Section 10.3 is amended by deleting “or annual information form” in subsections (2) and (4).**
8. **Paragraph 15.2(1)(b) is amended by deleting “, the preliminary annual information form” and “, the annual information form”.**

Transition

9. Before September 6, 2022, an investment fund is not required to comply with National Instrument 81-102 *Investment Funds*, as amended by this Instrument, if the investment fund complies with
 - (a) National Instrument 81-101 *Mutual Fund Prospectus Disclosure* as it was in force on January 5, 2022, and
 - (b) National Instrument 81-102 *Investment Funds* as it was in force on January 5, 2022.

Effective Date

10. (1) This Instrument comes into force on January 6, 2022.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after January 6, 2022, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.