

CARRIER COMPLIANCE UNIT POLICY AND PROCEDURES

WEIGH SCALE REPORTING EXEMPTION PERMIT (WSREP) PROGRAM- POLICY

Effective Date: June 1, 2023

This policy applies to the 20 kilometres mandatory reporting zone surrounding the Whitehorse and Watson Lake Weigh Stations and applies to all Yukon-based carrier vehicles that operate exclusively within the Yukon Territory. This policy is broken into two groups: carriers with less than a 65 per cent risk rating and carriers with a 65 per cent risk factor or higher.

Additional Context:

National Safety Code (NSC) has a warning process that notifies carriers when reaching 25 per cent, 50 per cent, 75 per cent and 100 per cent risk factors. This is a component of the carrier audit process and works in parallel with the WSREP. This ensures that carriers will have had at least two notifications from NSC regarding their risk factor before entering the 75 per cent mandatory audit stage. The objective of the 65 per cent+ adaptive management stages is to assist carriers in avoiding the audit and subsequent steps. Despite this policy, reporting or monitoring requirements resulting from an audit take precedence.

Carriers can request their current safety profile rating at any time for no cost. To reduce confusion and added monitoring of carriers' Periodic Motor Vehicle Inspections (PMVI) 'status' by carrier compliance, the existing policy for Yukon-only based vehicles and PMVIs (i.e., no PMVI requirement for vehicles under 11,794 kilograms) will continue to be recognized.



Less than 65 per cent risk factor

Stage 0

- Vehicles operating within 20 kilometres of a stationary scale will <u>automatically receive a permit</u> that exempts them from reporting unless otherwise directed.
- Vehicles weighing less than 11,794 kilograms or passenger carrying vehicles less than ten passengers including drivers, do not require a PMVI or Logbook/ELD.
- Carriers that meet the above criteria will be in STAGE 0 of the WSREP program.

65 per cent risk factor or higher:

Stage 1

30-day mandatory reporting for all active vehicles over 11,794 kilograms or passenger-carrying vehicles with a seating capacity of 10 or more, including the driver.

- A carrier that enters the 65 per cent or above risk factor automatically enters this stage.
- The carrier will be notified by registered mail or email to a designated contact and a confirmed direct contact from Carrier Compliance.
- During the 30-day Stage 1 process, all vehicles registered to and operated by that carrier over 11,794 kilograms, or passenger-carrying vehicles with a seating capacity of 10 or more including the driver, <u>must report to Scales with each load</u>.
- Any safety-related convictions¹ will reset the 30-day counter for this stage.
- If the carrier's risk factor goes below 65 per cent at any point, they will automatically return to Stage 0 and will be notified as per above.
- After a carrier completes 30 days in Stage 1 and their risk factor is still 65 per cent or higher, they will enter Stage 2.

Stage 2

30-day once-per-day mandatory reporting for all active vehicles over 11,794 kilograms, or passenger-carrying vehicles with a seating capacity of 10 or more including the driver.

- A carrier enters Stage 2 after successfully passing Stage 1, and their risk factor remains 65 per cent or higher.
- All vehicles over 11,794 kilograms or passenger-carrying vehicles with a seating capacity of 10 or more including the driver, must report once per day when operating.
- If the carrier receives any safety-related convictions during this stage, the 30-day timer resets.
- If the carrier receives three or more safety-related convictions in a 30-day period during Stage 2, the carrier is reset to Stage 1.

 $^{^{1}}$ Safety-related convictions include summary conviction and CVSA offences and are based on the date of offense

- The carrier will be notified in the same manner as in Stage 1.
- If the carrier's risk factor goes below 65 per cent at any point, they automatically return to stage 0 and will be notified as above.

Stage 3

Completed Stage 1 and Stage 2, but risk factor remains over 65 per cent.

- Carriers are in a conditional status and are no longer required to report to a stationary scale unless directed by an officer.
- The carrier will be notified by the same process set out in Stage 1.
- Carrier remains conditional until the risk factor falls below 65 per cent.
- If more than three safety-related convictions are obtained within 30 days, the carrier returns to Stage 2.
- If the risk factor falls below 65 per cent, the carrier returns to stage 0 and will be notified.



Weigh Scale Reporting Exemption Permit Process

Carrier Safety Profile Rating Notification letter 25sent to Carrier 50-Notification letter sent to Mandatory Meeting with NSC Office to discuss carrier infractions 65 Notification letter sent Mandatory Audit* * passing the audit results in reduction of 50% of points (1st audit) or 25% of points (2nd audit)

Notification

Suspension

Audit

100-

STAGE 0 (Safety Rating <65)

- No PMVI or ELD required for Yukon-only cargo vehicles less than 11,794 kg OR passenger vehicles with a capacity of less than 10 passengers (including driver)
- · All vehicles operating within 20km of a stationary scale are exempted from reporting unless otherwise directed

Risk-based Adaptive Management (>=65%)

STAGE 1 (30 Days)

- All heavy vehicles (>11,794 kg) AND all passenger vehicles (10+
 passengers) must report

 Any safety-related violations reset
- the 30-day counter

STAGE 2 (30 Days)*

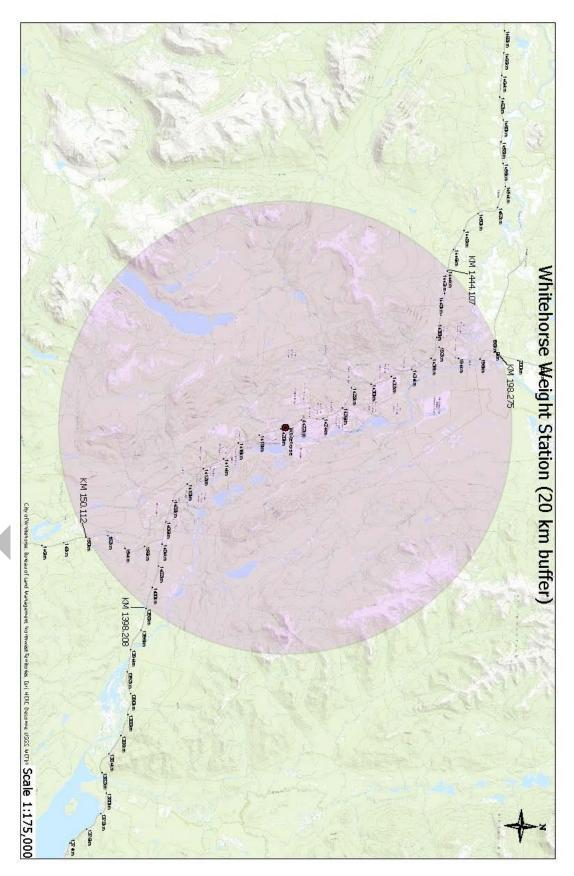
- * requires successful completion of Stage 1
- All heavy vehicles (>11,794 kg) AND all passenger vehicles (10+ passengers) must report 1X/day
- Any safety-related violations reset the 30-day counter
 3 safety-related violations within a
- 30-day period return carrier to Stage

STAGE 3 (conditional) *requires successful completion of Stage 2

- · No special reporting requirements
- (same as Stage 0)

 3 safety-related violations within a 30-day perdiod return carrier to Stage 2

*NSC Requirement Independent of WSREP



Carrier Compliance – Policy and Procedures

